



2873

PATENT  
Attorney Docket No. 4294US (98-1208)

**CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)**

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TECHNOLOGY CENTER 2800

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner: B. Kebede

Group Art Unit No.: 2823

Applicant(s): Whitman et al.

Filing date: April 4, 2000

Serial No.: 09/542,783

For (title): SPIN COATING FOR MAXIMUM FILL  
CHARACTERISTIC YIELDING A PLANARIZED THIN  
FILM SURFACE

**COMMUNICATION TRANSMITTAL**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☐ Preliminary amendment.
- ☒ Amendment or other communication in response to the non-final office action mailed July 12, 2001.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
- ☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

**Remarks:**

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3		SEXTA
Total claims	101	-	101	=	0 x 18.00 =
					0.00
Indep. claims	7	-	7	=	0 x 78.00 =
					0.00
First presentation of a multiple dep. claim (+260.00)					
SUBTOTAL					
Reduction for small entity - 50% of subtotal*					
TOTAL ADDITIONAL FEE (subtotal minus any reduction)					0.00

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
- 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
- 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

*Brick G. Power*

Brick G. Power  
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Date: July 23, 2001  
Enclosures: As identified above  
BGP/djp



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE JUL 30 2001

TECHNOLOGY CENTER 2800

In re Application of:

Whitman et al.

Serial No.: 09/542,783

Filed: April 4, 2000

For: SPIN COATING FOR MAXIMUM FILL  
CHARACTERISTIC YIELDING A  
PLANARIZED THIN FILM SURFACE

Examiner: B. Kebede

Group Art Unit: 2823

Attorney Docket No.: 4294US (98-1208)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 23, 2000  
Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Deidra Pfeil  
Typed/printed name of person whose signature is contained above

RESPONSE TO RESTRICTION AND ELECTION OF SPECIES REQUIREMENTS

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This communication is in response to the Restriction/Election of Species Requirement of July 12, 2001, having an initial period of response expiring on August 12, 2001.